

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tomonori KAWAKAMI et al.)	Confirmation No.: Unassigned
Application No.: NEW)	Group Art Unit: Unassigned
Filed: May 18, 2006)	Examiner: Unassigned
For: MICROPARTICLES, MICROPARTICLE)	
PRODUCTION METHOD, AND)	
MICROPARTICLE PRODUCTION APPARATUS)	

Commissioner for Patents
U.S. Patent and Trademark Office

Customer Window Mail Stop: ☒ New Application ☐ Amendment ☐ AF ☐ Issue Fee
Alexandria, VA 22314

Sir:

INFORMATION DISCLOSURE STATEMENT (IDS)

☒ **Under 37 C.F.R. § 1.97(b):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. To the undersigned's knowledge, this IDS is being filed before the mailing date of a first Office Action on the merits, before the mailing date of a first Office Action on the merits after filing an RCE under § 1.114, or within three months of the application filing date.

☐ **Under 37 C.F.R. § 1.97(c):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This IDS is being filed after the events recited in § 1.97(b) but, to the undersigned's knowledge, before the mailing date of a Final Office Action, a Notice of Allowance, or another action that closes prosecution in the application.

☐ The fee of \$180.00 set forth in § 1.17(p) is included herein; or

☐ Applicant submits that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ **Under 37 C.F.R. § 1.97(d):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This IDS is being filed after the events recited in § 1.97(c) but before payment of the issue fee.

☐ The fee of \$180.00 set forth in § 1.17(p) is included herein; and

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- ☐ Applicant submits that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ **Under 37 C.F.R. § 1.97(i):** Pursuant to 37 C.F.R. §§ 1.56 and 1.97(i), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This IDS is being filed after the events recited in § 1.97(d). Applicant requests that the IDS be placed in the file.

☒ A search report or other listing of documents from a counterpart, related, or other application dated March 1, 2005 and having documents cited thereon is attached for the Examiner's consideration. Any of these documents not previously cited, and any additional documents are listed on the PTO Form 1449.

☒ Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form. As for any document listed on the accompanying PTO-1449 that is in a language other than English, relevance can be understood from an enclosed English abstract or at least partial translation or from mention in the specification or in a search report for a corresponding application.

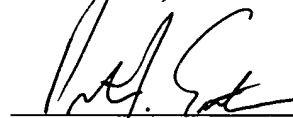
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that any of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should any of the documents be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this Application, including fees due under 37 C.F.R. § 1.16 and 1.17 which may be required and including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0573. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

DRINKER, BIDDLE & REATH LLP



Peter J. Sistare

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Dated: May 18, 2006

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(Use several sheets if necessary)				Applicants Tomonori KAWAKAMI et al.		Page 1 of 1	
PTO Form 1449				Filing Date: May 18, 2006		Group Art Unit: Unassigned	
U.S. PATENT DOCUMENTS							
*Examiner Initial		Document Number	Date	Name	Class	Sub Class	Filing Date
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub Class	Translation YES NO
		2001-113159	Apr. 24, 2001	JP			Abstract
		4-63135	Feb. 28, 1992	JP			Abstract
		2004/020086	Mar. 11, 2004	WO			Abstract
		2004/080586	Sept. 23, 2004	WO			Abstract
		2005/049213	Jun. 2, 2005	WO			Abstract
		2005/058480	Jun. 30, 2005	WO			Abstract
		2005/082521	Sept. 9, 2005	WO			Abstract
		2005/092489	Oct. 6, 2005	WO			Abstract
OTHER DOCUMENTS							
(Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)							
		Yoshiaki TAMAKI et al. "Tailoring nanoparticles of aromatic and dye molecules by excimer laser irradiation." <u>Applied Surface Science</u> . (2000) Vol. 168, pp. 85-88.					
		Yoshiaki TAMAKI et al. "Nanoparticle Formation of Vanadyl Phthalocyanine by Laser Ablation of Its Crystalline Powder in a Poor Solvent." <u>J. Phys. Chem. A</u> (2002) Vol. 106, pp. 2135-2139.					
		Bo LI et al. Enhancement of organic nanoparticle preparation by laser ablation in aqueous solution using surfactants." <u>Applied Surface Science</u> . (2003) Vol. 210, pp. 171-176.					
		Takeshi SASAKI et al. "Preparation of Platinum-Titanium Oxide Composite Particulate by Laser Ablation in Aqueous Solutions." <u>The Japan Society of Applied Physics 64th Annual Meeting Digest</u> . (Autumn 2003, Fukuoka University) p. 1032.					
		Bo LI et al. "Surfactant effects on optical absorption spectra of iron phthalocyanine nanoparticles in water." <u>Materials Research Bulletin</u> . 39(2004), pp. 1265-1269.					
Examiner					Date Considered		
Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							